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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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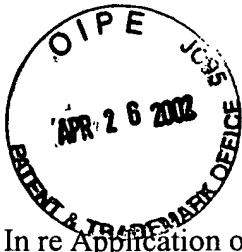
| | | |
|---|------------------------|---------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/080,784 |
| | Filing Date | 02/22/02 |
| | First Named Inventor | Michael S. Rothberg |
| | Group Art Unit | 2651 |
| | Examiner Name | Unknown |
| Total Number of Pages in This Submission | Attorney Docket Number | K35A0462 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Request for Correction of Filing Receipt - Copy of Filing Receipt - Copy of Application Data Form |
| Remarks | | RECEIVED MAY 07 2002 Technology Center 2600 |
| Receipt Acknowledgment Post Card | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---------------------------------|
| Firm or Individual name | MILAD G. SHARA, REG. NO. 39,367 |
| Signature | |
| Date | 4/22/02 |

| CERTIFICATE OF MAILING | | | |
|--|----------------|------|---------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/22/02 | | | |
| Typed or printed name | Adriana Varela | Date | 4/22/02 |
| Signature | | Date | 4/22/02 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michael S. Rothberg Group No. 2651
Application No.: 10/080,784 Examiner: Unknown
Filed: 02/22/02
For: DISK DRIVE EMPLOYING DATA AVERAGING TECHNIQUES DURING RETRY
OPERATIONS TO FACILITATE DATA RECOVERY

Office of Initial Patent Examination's Customer Service Center
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Technology Center 2600

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant hereby requests that a correction be made to the Inventor's State section of the Filing Receipt for the above referenced patent application. The Inventor's residence should read, as follows:

Michael S. Rothberg, Foothill Ranch, **CALIFORNIA**

Enclosed are copies of the following:

1. Copy of filed Application Data Form
2. Copy of Filing Receipt

The Examiner is respectfully asked to make the correction in the above-referenced patent application.

Respectfully submitted,

By: Milad G. Shara 4/22/02
Attorney for Applicants Date
Registration No. 39,367

WESTERN DIGITAL TECHNOLOGIES, INC.
Intellectual Property Dept, C-2
20511 Lake Forest Drive
Lake Forest, California 92630
Telephone: (949) 672-7814

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Secretary and Commissioner for Patents, Washington D.C. 20231 on 4/22/02.

Adriana Varela
Adriana Varela



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 10/080,784 | 02/22/2002 | 2651 | 848 | K35A0462 | 8 | 26 | 2 |

CONFIRMATION NO. 1166

26332

WESTERN DIGITAL CORP.

20511 LAKE FOREST DRIVE

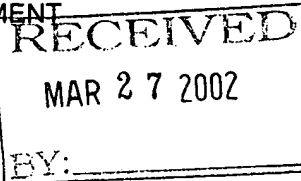
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LAKE FOREST, CA 92630

FILING RECEIPT



OC000000007698860



Date Mailed: 03/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael S. Rothberg, Foothill Ranch, CANADA;

Assignment For Published Patent Application

WESTERN DIGITAL TECHNOLOGIES, INC., LAKE FOREST, CA; ✓

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/22/2002

Projected Publication Date: Request for Non-Publication Acknowledged ✓

Non-Publication Request: Yes ✓

Early Publication Request: No ✓

Title

Disk drive employing data averaging techniques during retry operations to facilitate data recovery ✓

Preliminary Class

360



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Bib Data Sheet

CONFIRMATION NO. 1166

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|--|---|-------------------------------|---|--|
| SERIAL NUMBER 10/080,784 | FILING DATE 02/22/2002 RULE | CLASS 360 | GROUP ART UNIT 2651 | ATTORNEY DOCKET NO. K35A0462 |
| APPLICANTS Michael S. Rothberg, Foothill Ranch, CA; | | | | |
| ** CONTINUING DATA ***** | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/22/2002 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | STATE OR COUNTRY CA | SHEETS DRAWING 8 | TOTAL CLAIMS 26 |
| Verified and Acknowledged Examiner's Signature _____ Initials _____ | | | | INDEPENDENT CLAIMS 2 |
| ADDRESS 26332 | | | | |
| TITLE Disk drive employing data averaging techniques during retry operations to facilitate data recovery | | | | |
| FILING FEE RECEIVED 848 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |

Application Data Sheet

Application Information

Application Number:: UNKNOWN
Filing Date:: HEREWITH
Application Type:: REGULAR
Subject Matter:: UTILITY
Title:: DISK DRIVE EMPLOYING DATA
AVERAGING TECHNIQUES DURING
RETRY OPERATIONS TO FACILITATE
DATA RECOVERY

Attorney Docket Number:: K35A0462
Request for Early Publication?: NO
Request for Non-Publication?: YES
Total Drawing Sheets:: 8
Small Entity:: NO
Petition included?: NO

Applicant Information

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: US
Status:: FULL CAPACITY
Given Name:: MICHAEL S.
Family Name:: ROTHBERG
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State of mailing address:: CA
Country of mailing address:: US
Zip Code of mailing address:: 92610

Correspondence Information

| | |
|----------------------------------|-------|
| Correspondence Customer Number:: | 26332 |
|----------------------------------|-------|

Representative Information

| | | |
|------------------------------|-----------------------|-------------------------|
| Representative Designation:: | Registration Number:: | Representative Name:: |
| Primary | 39,367 | MILAD G. SHARA, ESQ. |
| Associate | 37,938 | HOWARD H. SHEERIN, ESQ. |

Domestic Priority Information

| | | | |
|---------------|-------------------|----------------------|----------------------|
| Application:: | Continuity Type:: | Parent Application:: | Parent Filing Date:: |
| | | | |

Assignee Information

Assignee name:: WESTERN DIGITAL TECHNOLOGIES, INC.
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Zip code of mailing address:: 92630